

"APT Rec'd PCT/PTO 11 JUL 2006

### PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q84181

Shiro IWATANI

Appln. No.: 10/511,680

Group Art Unit: 2834

Confirmation No.: 6360

Examiner: Pedro J. CUEVAS

Filed: October 18, 2004

For:

CONTROLLER OF AC GENERATOR FOR VEHICLE

STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: July 11, 2006

Respectfully submitted,

Allison M. Tulino

Registration No. 48,294



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q84181

Shiro IWATANI

Allowed: January 1, 2006

Appln. No.: 10/511,680

Group Art Unit: 2834

Confirmation No.: 6360

Examiner: Pedro J. CUEVAS

Filed: October 18, 2004

For:

CONTROLLER OF AC GENERATOR FOR VEHICLE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

## MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after either a Final Office

Action, Notice of Allowance, or an action that otherwise closes prosecution in the application

(whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/511,680

herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under

37 C.F.R. § 1.97(e).

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

Applicant is filing herewith a Communication from a foreign Patent Office. There is a

total of five documents listed on the foreign Communication. However, JP 2002-204600 was

cited in the April 26, 2006 Information Disclosure Statement and U.S. Patent No. 5,497,071 was

cited in the December 19, 2005 Office Action. Accordingly, these documents are not listed in

the attached PTO 1449 form.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Respectfully submitted,

Registration No. 48,294

Allison M. Tulino

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Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

07/14/2006 MKAYPAGH 00000027 10511680

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Date: July 11, 2006

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MODIFIED PTO/SB/08 A & B (08-03) JUL 1 1 2006 Complete if Known Application Number

Construction Number

Date Substitute for Form 1449 A & B/PTO 10/511,680 6360 **INFORMATION DISCLOSURE** October 18, 2004 STATEMENT BY APPLICANT First Named Inventor Shiro IWATANI Art Unit 2834 (use as many sheets as necessary). Pedro J. CUEVAS **Examiner Name** Sheet Attorney Docket Number Q84181

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Publication Date		
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 2001/0005167	A1	06/28/01	Nakamura et al.	
		US 6,081,084		06/27/00	Crecelius	
		US				
		US				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
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NON PATENT LITERATURE DOCUMENTS				
		Translation <sup>6</sup>		
		Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine,		

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.